

01-30-02

1637 \$

PTO/SB/21 (08-00)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/930,536
Filing Date	August 14, 2001
First Named Inventor	Gentalen, Erik
Group Art Unit	1637
Examiner Name	Siew, Jeffrey
Attorney Docket Number	018547-021210US

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Total Number of Pages in This Submission

1

**ENCLOSURES (check all that apply)**☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☒ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Assignment Papers  
(for an Application)☒ Drawing(s) (Figs. 1, 2, 3, 4A, 4B, 5A,  
5B, 5C, 6 and 7)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):

Return Postcard

Response to Restriction Requirement

Submission of Formal Drawings

Remarks

The Commissioner is authorized to charge any additional fees to  
Deposit Account 20-1430.**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
and  
Individual name

Townsend and Townsend and Crew LLP

Scott L. Aussenhus

Reg. No. 42,271

Signature

Date

January 27, 2003

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label: EL 889372149 US

I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date January 27, 2003 and is addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231

Typed or printed name

Maisie C. Livengood

Signature

Date

January 27, 2003

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JAN 27 2003

**FEE TRANSMITTAL  
for FY 2003**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 110**Complete if Known**

Application Number 09/930,536

Filing Date August 14, 2001

First Named Inventor Gentalen, Erik

Examiner Name Siew, Jeffrey

Group Art Unit 1637

Attorney Docket No. 018547-021210US

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**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit Card ☐ MoneyOrder ☐ Other ☐ None
☒ Deposit Account:Deposit  
Account  
Number

20-1430

Deposit  
Account  
Name

Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1001	750	2001	375	Utility filing fee
1002	330	2002	165	Design filing fee
1003	520	2003	260	Plant filing fee
1004	750	2004	375	Reissue filing fee
1005	160	2005	80	Provisional filing fee

Fee Paid

SUBTOTAL (1)

(\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fees from below	Fee Paid
Independent Claims	** =	X	=
Multiple Dependent	X	=	=

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	110
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid . SUBTOTAL (3)

(\$110)

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)

Scott L. Auserhus

Registration No. (Attorney/Agent)

42,271

Telephone

303-571-4000

Signature

Scott Auserhus

Date

January 27, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Assistant Commissioner for Patents  
Washington, D.C. 20231

By: Maisie C. Livengood  
Maisie C. Livengood

#18  
PATENT  
Attorney Docket No.: 018547-021210US  
Client Ref. No.: 3017 con

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Gentalen et al.

Application No.: 09/930,536

Filed: August 14, 2001

For: METHODS OF USING AN  
ARRAY OF POOLED PROBES  
IN GENETIC ANALYSIS

Examiner: Siew, Jeffrey

Art Unit: 1637

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in the above-referenced application are eight (8) sheets of formal drawings containing Figs. 1, 2, 3, 4A, 4B, 5A, 5B, 5C, 6 and 7. These figures constitute all of the drawings in this application.

Respectfully submitted,

Scott L. Ausenhus  
Reg. No. 42,271

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DE 7093388 v1